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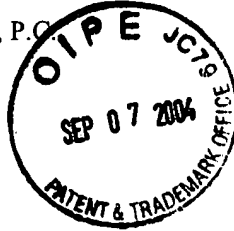
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7590 08/16/2004

WINSTEAD SECHREST & MINICK, P.C.
 P.O. Box 50784
 DALLAS, TX 75201

09/08/2004 LWONDIN2 00000090 10032933

01 FC:1501 1330.00 DP
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Serena Beller	(Depositor's name)
<i>Serena Beller</i>	(Signature)
9/11/04	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/032,933	12/28/2001	Richard E. Smalley	11321-P012WOUS	9922

TITLE OF INVENTION: METHOD FOR PURIFICATION OF AS-PRODUCED SINGLE-WALL CARBON NANOTUBES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	11/16/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
HENDRICKSON, STUART L	1754	423-447100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Ross Spencer Garsson**

2 **Robert C. Shaddox**
Winstead Sechrest
 3 **& Minick P.C.**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

William Marsh Rice University

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Houston, TexasPlease check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

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- ☒ A check in the amount of the fee(s) is enclosed.
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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☒ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

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(Authorized Signature)

(Date)

Ross Spencer Garsson Reg. No. 38,150

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